

Münster Oberseminar

Differential Geometry

Monday, June 7, 2021

$d_p$  Convergence and  $\varepsilon$ -Regularity Theorems  
for Entropy and Scalar Curvature Lower Bounds

joint w/ Man-Chun Lee and Aaron Naber

## Theme:

$(M^n, g)$  Riemannian manifold.

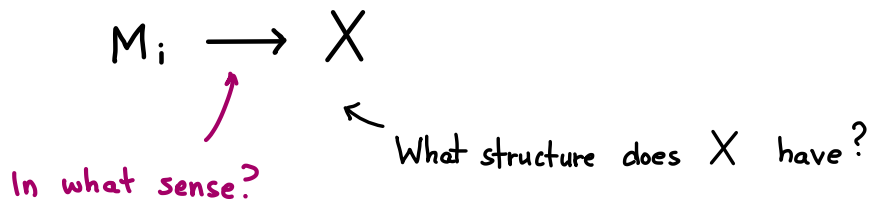
Put some constraints  
on the curvature



What structure does  
this impose on  $(M, g)$ ?

## To study:

Take sequence  $(M_i, g_i)$  satisfying constraint. look at limit



Ex Spaces with Ricci lower bounds

$(M_i, g_i)$  sat.  $\text{Ric}_i \geq -\lambda g_i$

$(M_i, d_i) \xrightarrow{\text{GH}} (X, d)$

Cheeger - Colding.

$\varepsilon$ -Regularity Thm (Colding '97, Cheeger-Colding '97)

Fix  $\varepsilon > 0$ . There exists  $\delta = \delta(\varepsilon, n) > 0$  such that if  
 $(M, g)$  Riemannian manifold,  $x \in M$  w/

$\text{Ric}_g \geq -\delta g$

$\text{vol}_g(B(x, 1)) \geq (1-\delta) \omega_n$

Then

$d_{\text{GH}}(B(x, 1), B(0^n, 1)) \leq \varepsilon$

In fact, GH can be improved to biholder homeomorphic by iterating on all scales.

To summarize:

Almost Euclidean  
Ricci lower bound

+

Noncollapsing - Almost  
Euclidean volume



Geometrically close  
to Euclidean

Our goal today:

$\varepsilon$  regularity assuming only scalar curvature lower bounds.

Almost Euclidean  
scalar lower bound

+

"Noncollapsing" - Almost  
Euclidean Perelman  
entropy

$$R_g \geq -\delta$$



"Geometrically close  
to Euclidean"

← Not in any metric  
space sense!  
In " $d_p$  sense."

Background - Perelman Entropy  $\mu(g, \tau)$ .  $\tau > 0$

Optimal constant in family of log-Sobolev inequalities.

Def

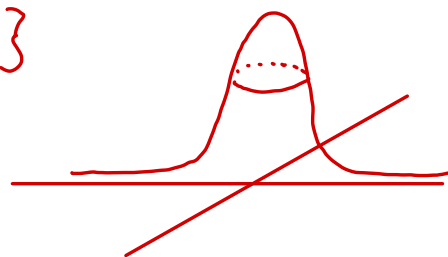
$$(a) \quad \mu(g, 1) = \inf \left\{ \tilde{W}(u) : \int_M u^2 d\text{vol}_g = 1 \right\}$$

$$\tilde{W}(u) = \int_M 4|\nabla u|^2 + R_g u^2 - u^2 \log u^2 d\text{vol}_g - n + \log(4\pi)^{\frac{n}{2}}$$

cf: Log Sobolev on Euclidean space  $\iff \mu(g_{\text{euc}}, 1) = 0$ .

$$\int u^2 \log u^2 \leq \int 4|\nabla u|^2 dx - n + \log(4\pi)^{\frac{n}{2}} \quad \text{if } \int u^2 = 1.$$

$$\text{with } = \iff u^2 = (4\pi)^{-\frac{n}{2}} \exp\{-|x - x_0|^2/4\}$$



$$(b) \quad \mu(g, \tau) = \mu(\tau^{-1}g, 1)$$

## Some key perspective notes

$(M, g)$  complete with bounded curvature.

- $\mu(g, \tau) \leq 0$  for all  $\tau > 0$

with equality  $\iff (M, g) = (\mathbb{R}^n, g_{\text{euc}})$

- $\mu(g, \tau) \geq -\delta$  for  $\tau \in (0, 1]$ ,  $R_g \geq -\delta$

$$\implies \text{vol}_g(B_g(x, r)) \geq (1 - \varepsilon) r^n \omega_n$$

$$\forall r \in (0, 1].$$

We will assume

$$\mu(g, \tau) \geq -\delta \text{ for } \tau \in (0, 1]$$

Now let's turn to  
understanding

"Geometrically close  
to Euclidean"

Look at limits of sequences  $(M_i, g_i)$  satisfying

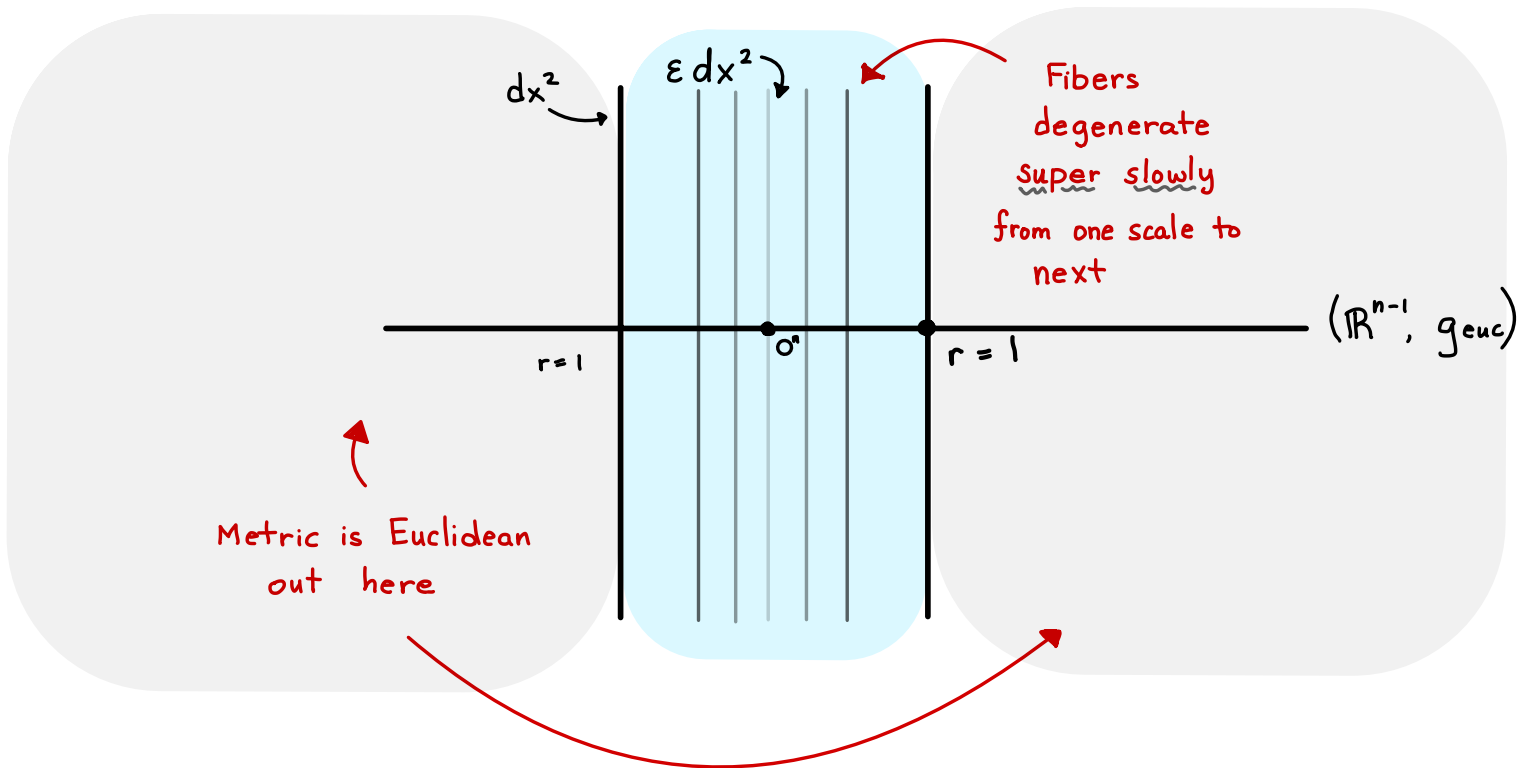
$$\underline{R_{g_i} \geq -1/i}, \quad \underline{\mu(g_i, \tau) \geq -1/i \quad \forall \tau \in (0, 1]}$$

In what sense do they converge to  $(\mathbb{R}^n, g_{\text{euc}})$  ?

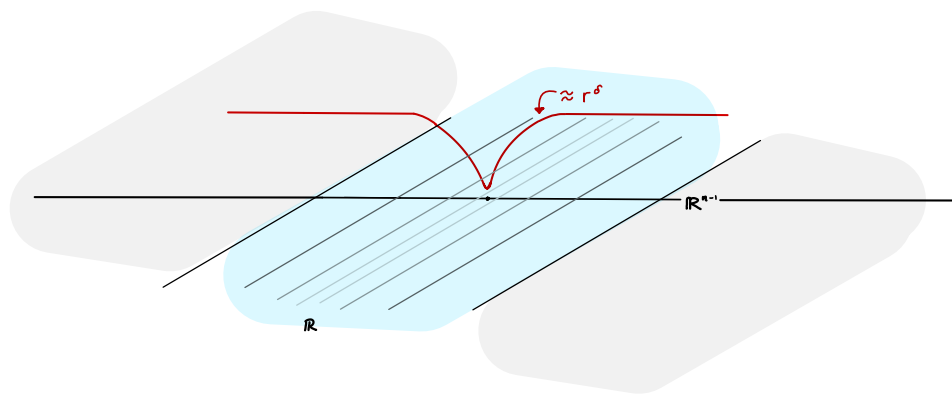
A key point:

Their metric space structures  
need not converge!

Ex 1: Basic Idea: Metric  $g_\epsilon$  on  $\mathbb{R}^n = \mathbb{R}^{n-1} \times \mathbb{R}$



Can make this change slow enough such that



- $\underline{R_{g_\epsilon} \geq -c(\epsilon) \rightarrow 0}$ ,

- $\underline{\mu(g_\epsilon, \tau) \geq -c(\epsilon) \rightarrow 0}$   
 $\forall \tau \in (0, 1]$

White lie: To get scalar lower bound, we need very flat cone metric on  $\mathbb{R}^{n-1}$

$n \geq 4.$

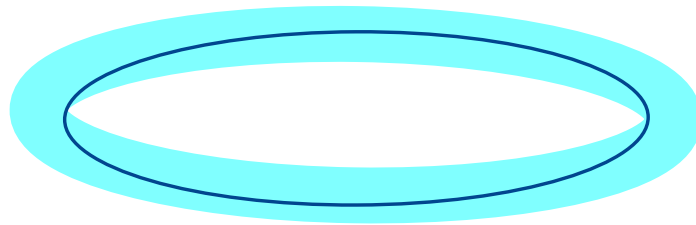


But In the pointed GH limit, central line collapses to a point.

and

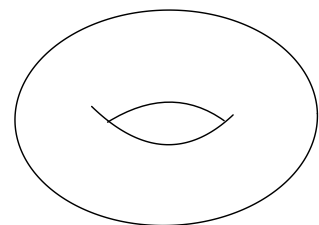
$$\text{vol}_{g_\epsilon}(B_{g_\epsilon}(0,1)) \rightarrow \infty$$

Note: After rescaling/chopping/ $\mathbb{Z}$  action, we get

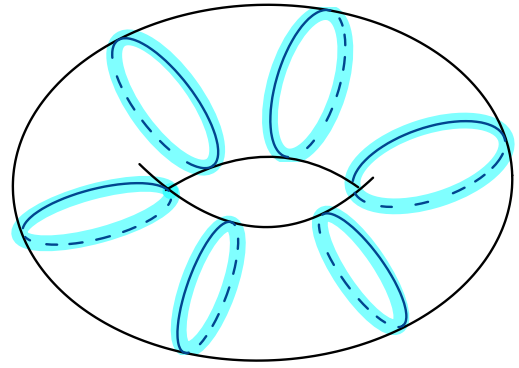


Ex 2:

① Take a flat torus  $(T^n, g_{\text{flat}})$



② Obtain  $(T^n, g_i)$  by pasting increasingly dense collection of disjoint strips along parallel copies of  $S^1$



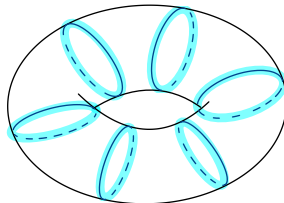
③ Can choose parameters such that

$$\underline{R_{g_i} \geq -1/i}$$

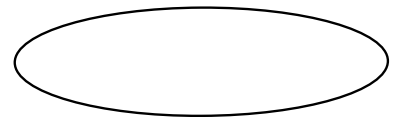
$$\underline{\mu(g_i, \tau) \geq -\delta_0} \quad \forall \tau \in (0, 1]$$

But

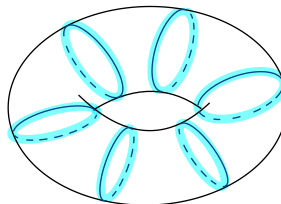
Gromov - Hausdorff  
limit is  $(T^{n-1}, g_{flat})$



$\xrightarrow{GH}$



Intrinsic flat limit  
is zero current

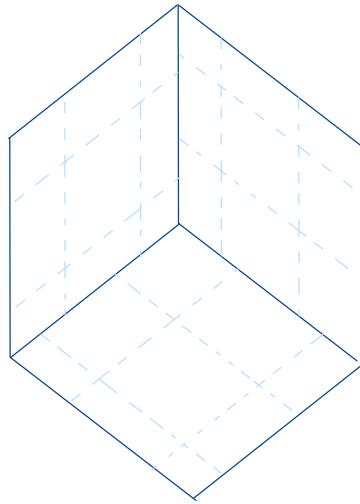


$\xrightarrow{IF}$

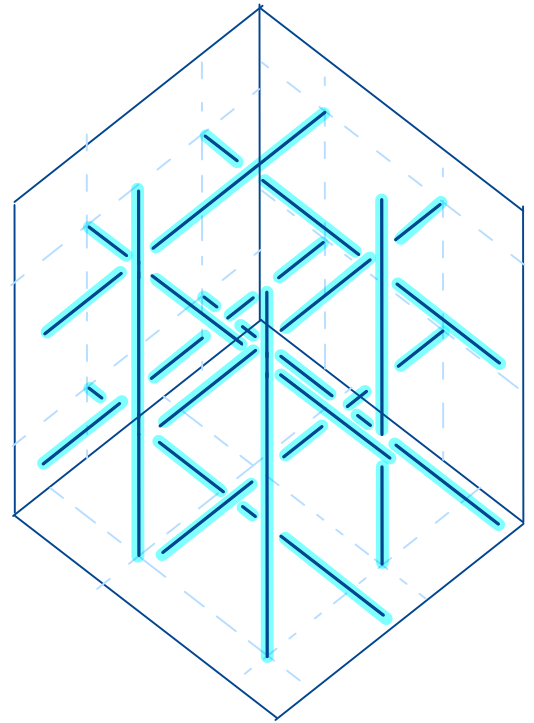
0 - current.

Ex 3:

① Take a flat torus  $(T^n, g_{\text{flat}})$



② Obtain  $(T^n, g_i)$  by pasting increasingly dense collection of disjoint strips along copies of  $S^1$  parallel to coordinate directions



③ Can choose parameters such that

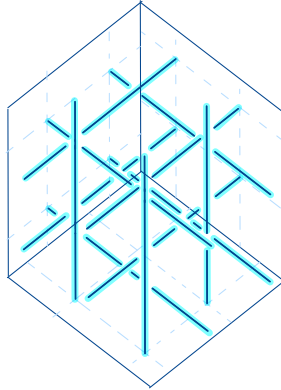
$$\underline{R_{g_i} \geq -\frac{1}{i}}$$

$$\underline{\mu(g_i, \tau) \geq -\delta_0} \quad \forall \tau \in (0, 1]$$

But

Gromov - Hausdorff

limit is a point

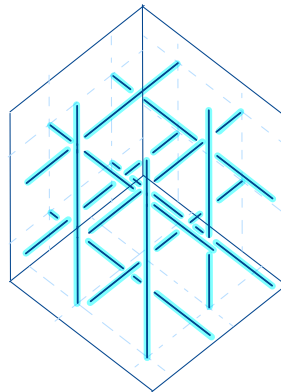


$\xrightarrow{\text{GH}}$



Intrinsic flat limit

is zero current



$\xrightarrow{\text{IF}}$

0 - current.

Upshot : under scalar curvature and entropy lower bounds,

distance functions can

behave very poorly.

New notion:

$d_p$  distance.

$$p \in \mathbb{R}, \quad p > n$$

$$d(x, y) = \sup \{ f(x) - f(y) : \|\nabla f\|_{L^\infty} \leq 1 \}$$

Def :

$(M, g)$  Riemannian manifold,  $x, y \in M$ ,  $p > n$ .

$$(a) \quad d_p(x, y) = \sup \{ |f(x) - f(y)| : \int_M |\nabla f|^p \, d\text{vol}_g \leq 1 \}.$$

$$(b) \quad B_p(x, r) = \{ y \in M : d_p(x, y) < r \}$$



$$\begin{aligned} f_k &\rightarrow 1 \quad \text{a.e.} & f_k &\rightarrow 1 \quad \text{in } L^p. \\ f_k &\not\rightarrow 1 \quad \text{in } L^\infty \end{aligned}$$

### Some key perspective notes

- $d_\infty(x, y) = d(x, y)$  and  $\lim_{p \rightarrow \infty} d_p(x, y) = d(x, y)$

- $d_p$  reflects behavior of  $W^{1,p}$  Sobolev space

- On Euclidean space,

$$d_p(x, y) = S_{p,n} |x - y|^{1 - n/p}$$

- $(M, g), (N, h)$  cpt Riemannian manifolds.

If  $(M, d_{p,g}), (N, d_{p,h})$  isometric as metric spaces

then  $(M, g), (N, h)$  isometric as Riem. mfd's.

Thm (Lec - Naber - N. '20)

Fix  $n \geq 2, p \geq n+1, \varepsilon > 0$ . There exists  $\delta = \delta(n, p, \varepsilon)$  such that if  
 $(M^n, g)$  complete with bounded curvature with

$$\underline{R_g \geq -\delta}$$

$$\underline{\mu(g, \tau) \geq -\delta \quad \forall \tau \in (0, 1]}$$

then for any  $x \in M$ ,

$$\bullet \quad d_{GH} \left( (B_{p,g}(x, 1), d_{p,g}), (B_{p,g_{euc}}(O^n, 1), d_{p,euc}) \right) \leq \varepsilon,$$

$$\bullet \quad 1 - \varepsilon \leq \frac{\text{vol}_g(B_{p,g}(x, r))}{\text{vol}_{g_{euc}}(B_{p,g_{euc}}(O, r))} \leq 1 + \varepsilon \quad \forall r \in (0, 1).$$

Thm (Lee-Naber-N. '20)

Fix  $n \geq 2$ ,  $q < 1$ ,  $\varepsilon > 0$ . There exists  $\delta = \delta(n, q, \varepsilon)$  such that if  
 $(M^n, g)$  closed with

$$\underline{R_g \geq -\delta}, \quad \underline{\mu(g, \tau) \geq -\delta \quad \forall \tau \in (0, 1]}$$

then

$$\int_M |R_g|^2 d\text{vol}_g \leq \varepsilon$$

Schoen-Yau: If  $(T^n, g)$  has  $R_g \geq 0$ ,  
then  $g = g_{\text{flat}}$ .

Thm (Lee-Naber-N. '20)

Fix  $n \geq 2$ ,  $p \geq n+1$ ,  $V > 0$ . There exists  $\delta = \delta(n, p)$  s.t. if  $(T^n, g_i)$   
satisfy

$$\underline{R_{g_i} \geq -1/i}, \quad \underline{\mu(g_i, \tau) \geq -\delta \quad \forall \tau \in (0, 1]}, \quad \underline{\text{vol}_{g_i}(M_i) \leq V}$$

Then up to subsequence,

$$(M_i, g_i) \rightarrow (T^n, g_{\text{flat}}) \quad \text{in } d_p \text{ sense.}$$

Limit spaces are NOT metric spaces, they are

Rectifiable Riemannian spaces  $(X, g)$

$(X, m)$  topological space with a Borel measure, equipped with

- Atlas of charts with biLipschitz transition maps covering  $X$  up to set of measure zero.
- Possibly singular metric  $g$  defined in charts.

This is enough structure  
to define  $W^{1,p}$ .



Thm (Lee - Naber - N. '20)

Fix  $n \geq 2$ ,  $p \geq n+1$ . There exists  $\delta = \delta(n, p)$  such that if  $\{(M_i, g_i, x_i)\}$  complete with bounded curvature with

$$\underline{R_{g_i} \geq -\delta,}$$

$$\underline{\mu(g_i, \tau) \geq -\delta \quad \forall \tau \in (0, 1]}$$

then up to subsequence,

Some subtlety to defining this

$$\underline{(M_i, g_i, x_i) \longrightarrow (X, g, x) \text{ in pointed } d_p \text{ sense}}$$

where  $(X, g, x)$  is a rectifiable Riemannian space that is

- $W^{1,p}$ -rectifiably complete  
( $W^{1,p}$  space is "big" and "well-behaved")
- $d_p$ -complete  
( $d_p$  is metric generating same topology as  $X$ )
- $X$  is a smooth topological manifold.

THANK YOU!

